

Docket No. 2000-367314US



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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re patent application of:** Mamoru Oguro

**Serial No.:** 09/996,557

**Group Art Unit:** 2883

**Filing Date:** November 30, 2001

**Examiner:** Brian Healy

**For:** OPTICAL MODULE

Commissioner for Patents  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Under the provisions of 37 CFR §1.97 through §1.99 and pursuant to applicant's duty of disclosure under 37 CFR §1.56, applicant respectfully brings the following documents cited in the Japanese Office Action dated October 8, 2004, and listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. Copies of the listed documents are provided herewith for the convenience of the Examiner.

Note that copies of references 3, 4 and 5 listed on the Japanese Office Action are not included since they were submitted with the Information Disclosure Statement filed June 15, 2004.

In compliance with the requirements of 37 CFR §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 35 U.S.C. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the reference was cited. The relevance to the pending U.S. patent application is that the reference was cited in a foreign patent application on the same subject matter. However, no independent analysis of the reference, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made, the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

I hereby certify that each item of information contained in this Information Disclosure Statement was the first citation of that item by a foreign patent office in a counterpart foreign application, which occurred not more than three months prior to the filing of this statement.

Submitted herewith is the IDS fee of \$180.00.

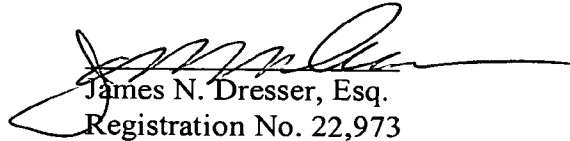
It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

Respectfully submitted,

Date:

November 30, 2008

  
James N. Dresser, Esq.  
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[illegible][illegible]

			Japanese Office Action dated October 13, 2004 (with partial English translation)
			<i>1.3/1.55 um WDM Optical Module for Full Duplex Operation Using PLC Platform</i> , Hashimoto, S. et al., 1998 Electronic Information Communication Association Electronics Society Conference, 09/07/1998 Publication; Presentation Compilation 1, p.244, C-3-110.

DATE CONSIDERED

**PAGE 1 OF 2**

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY DOCKET NO.  
2000-367314US

SERIAL NO.  
09/996,557

Mamoru Oguro

FILING  
November 30, 2001

GROUP  
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## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	2001-506373	5/15/2001	Japan				✓
	WO/98/27449	6/25/1998	PCT/DE97/02672			abs	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.